



康和證券(香港)有限公司

Taiwan Concord Capital Securities (Hong Kong) Ltd.

香港金鐘海富中心一座702室 · TEL:852-34057388 · FAX:852-25734785

香港證監會中央編號ACS694

Unit 702, Tower 1, Admiralty Centre, 18 Harcourt Road, Admiralty, Hong Kong

SFC CE No. ACS694

Futures Account Opening 期貨戶口

Individual/Joint Account Opening 個人/聯名

Documents Checklist for Individual/Joint Account Opening 個人/聯名開戶文件檢查表：	Individual	Joint
1. Futures Client Account Agreement 期貨戶口開戶文件		
2. Copy of Hong Kong Identity Card(s) or Unexpired Government issued Identification Document Card(s) or Passport(s) of all account holder(s) 所有戶口持有之香港身份證明文件(或有效身份證明文件或護照之副本)		
3. Copy of valid Residential Address Proof within the last 3 months(if applicable) 最近三個月內之有效住址證明文件(如適用)		
4. Copy of valid Correspondence Address Proof (if applicable) within the last 3 months 最近三個月內之有效通訊地址證明文件(如適用)		



康和證券(香港)有限公司

Taiwan Concord Capital Securities (Hong Kong) Ltd.

香港金鐘海富中心一座702室 · TEL:852-34057388 · FAX:852-25734785

香港證監會中央編號ACS694

Unit 702, Tower 1, Admiralty Centre, 18 Harcourt Road, Admiralty, Hong Kong
SFC CE No. ACS694

Futures Account Opening 期貨戶口

Corporate-公司

Documents Checklist for Corporate Account Opening 公司開戶文件檢查表：	Corporate
5. Futures Client Account Agreement 期貨戶口開戶文件	
2. Copy of Hong Kong Identity Card(s) or Unexpired Government issued Identification Document Card(s) or Passport(s) of all account holder(s) 所有戶口持有人的香港身份證明文件(或有效身份證明文件或護照之副本)	
CERTIFIED TRUE COPY of 核證真實副本：	
a) Memorandum and Articles of Association 公司大綱及章程	
b) Board Resolution/Minutes approving the opening of Futures trading account with Taiwan Concord Capital Securities (Hong Kong) Limited and approving the authorized persons to operate the aforesaid account with specimen signatures of account signatories 董事會決議/會議記錄授權在康和證券(香港)有限公司開設期貨交易賬戶及授權獲授權人運作上述的賬戶並連同其簽式樣	
c) Certificate of Incorporation or other constitutional documents proving the incorporation or similar evidence of the legal status of the corporation 公司註冊證書或其他可證明該公司法律地位的文件	
d) Business Registration Certificate (for HK registered office or if any) 商業登記證(適用於香港註冊公司或如有提交)	
e) Registers of Directors 董事名冊	
f) Registers of Members 股東名冊	
g) Subscriber Appointment of First Director(s) (for offshore registered office only) 第一次董事委任書(適用於離岸註冊公司)	
h) Consent to act as Director(s) (for offshore registered office only) 董事就任同意書(適用於離岸註冊公司)	
i) Latest audited financial statements (if any) 最新經審計財務報表(如有提交)	
j) Latest annual return filed with the Companies Registry (for HK registered office) 最新周年報表(適用於香港註冊公司)	
k) ID or passport of the substantial shareholders (where applicable, ultimate principal beneficial owners) and at least two of the directors (if any) 最少2名董事及主要股東(如適用, 最終實益擁有人)的身份證明文件或護照(如有提交)	
3. Letter of Guarantee and certified true copy of HK identity card or passport of guarantor (if any) 擔保書並連同擔保人的香港身份證明文件或護照(如有提交)	
4. Copy of valid Address Proof within the last 3 months 最近三個月內之有效地址證明文件	