



# 康和證券(香港)有限公司

## Taiwan Concord Capital Securities (Hong Kong) Ltd.

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 香港證監會中央編號ACS694 · 香港聯合交易所參與者編號6010  
 Unit 702, Tower 1, Admiralty Centre, 18 Harcourt Road, Admiralty, Hong Kong  
 SFC CE No. ACS694 · SEHK Exchange Participant No.6010

### 客戶交易委託書

### Client Trading Order Form

日期 Date: \_\_\_\_\_

客戶名稱 Account Name	戶口號碼 Account No.
聯絡電話 Contact No.	電郵地址 Email Address

#### 交易產品 Product:

可轉換公司債 ECB  海外存託憑證 DR

債券 Bond  結構型商品 Note  基金 Fund

#### 申購 Buy

產品名稱 Product Name	代碼 ISIN Code	數量 Quantity	金額 Amount	貨幣 Currency	價格 Trading Price

#### 贖回 Sell

產品名稱 Product Name	代碼 ISIN Code	數量 Quantity	金額 Amount	貨幣 Currency	價格 Trading Price

#### 聲明 Declaration

本人/吾等確認 I/We confirm that:

- 本人/吾等已收取本人/吾等以此交易委託書所指示交產品("產品")的發行章程及/或有關銷售文件("銷售文件")(如適用), 並已閱讀及完全明白有關銷售文件內所載之條款及細則。本人/吾等明白首次及其後認購及持有產品均受銷售文件內所載之條款及條件約束。  
 I/We have received a copy of the offering document and/or relevant sales document of the product ("Sales Document")(if any) which I/we instruct to trade in this order form ("Product"), and I/we have read and fully understand the terms and conditions of the Sales Document. I/We understand that the initial and subsequent subscription and holding of the Product will be subject to the terms and conditions of the Sales Document.
- 就本人/吾等上述所認購之產品, 乃本人/吾等主動向貴公司認購; 貴公司之員工並沒就該產品向本人/吾等作出任何推介、購買邀約或勸誘投資。  
 有關交易乃根據本人/吾等意願獨立作出。  
 I/We traded the Product on my/our own initiative, Taiwan Concord Capital Securities (HK) Limited or its employees has not solicited, invited me/us offered investment recommendation to me/us to deal in the Product. I/We have made my/our own investment decision in respect of the transaction.
- 就本人/吾等認購及持有產品, 本人/吾等承諾均與任何時間內遵守銷售文件內所載之條款及細則(如適用)及貴公司的規定。  
 I/We undertake to comply with all the terms and conditions of the Sales Document (if any) and all the requirements of Taiwan Concord Capital Securities (HK) Limited at all times in relation to any subscription and holding of the Product.
- 本人/吾等完全瞭解 I/We fully understand:
  - 產品的性質及風險;  
 the nature and risks of the Product;
  - 有關交易的條款, 包括但不限於有關價格、年期、屆滿日期及就交易而言屬重大的其他條款; 及  
 the details of the transaction; including but not limited to the terms as to price, term, expiration date and other terms material to the transaction; and c. 在何種情況下本人/吾等可能須交付或交收交易的相關權益。  
 The circumstances under which I/We may become obligated to make or take delivery of the underlying interest of te transaction.
- 除已獲得貴公司的同意外, 所有已給予的指示均都不能更改、撤銷或撤回。  
 All the instructions given may not be amended, rescinded or withdrawn without the consent of Taiwan Concord Capital Securities (HK) Limited.
- 本人/吾等確認已按照本人/吾等選擇的語言於開戶時或本人/吾等首次認購產品時獲得風險披露聲明及已獲邀閱讀該風險披露聲明、提出問題及徵求獨立的意見。  
 I/We acknowledge that the Risk Disclosure Statements were provided in a language of my/our choice when I/We applied for the Account Opening or when I/We first traded the Product and I/we was/were invited to read the Risk Disclosure Statement, to ask questions and take independent advice.

客戶簽署 Client's Signature(s)

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S.V. By	Inputted By	Checked By	Approved By